

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Barclays Bank PLC

Name and address where notices to transferee should be sent:

Barclays Bank PLC  
125 S. West St., 6th Floor  
Wilmington, DE 19801  
Attn: Salvatore Russo, Distressed Loan Closing  
Email: distressedclosers@barclays.com

Name of Transferor

QVT Fund IV LP

Court Claim # (if known): 27141

Amount of Claim Transferred: \$2,398,041.41


Date Claim Filed: September 22, 2009

Debtor: Lehman Brothers Holdings Inc.

With a copy to:

Barclays Bank PLC  
745 Seventh Avenue, 2nd Floor  
New York, NY 10019  
Attn: Daniel Miranda  
Email: daniel.miranda@barclays.com

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Name: Salvatore Russo  
Title: Authorized Signatory

Date:

2020.02.27

11:30:52 -05'00'

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF INTEREST IN CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (“Bankruptcy Court”)  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. (“Debtor”)  
Case No. 08-13555 (SCC) (Jointly Administered)

Proof of Claim Number 27141

**QVT FUND IV LP**, its successors and assigns (“Seller”), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**BARCLAYS BANK PLC**, its successors and assigns (“Buyer”), all rights, title and interest in and to that portion of Proof of Claim Number 27141 previously transferred to Seller by the Partial Transfer of Claim Other Than for Security dated December 30, 2013, in the amount of \$2,398,041.41 (the “Assigned Claim”), against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Assigned Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Assigned Claim and recognizing Buyer as the sole owner and holder of the Assigned Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Assigned Claim to Buyer at the following address:

Barclays Bank PLC  
125 S. West St., 6th Floor  
Wilmington, DE 19801  
Attn: Salvatore Russo  
Email: distressedclosers@barclays.com

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Interest in Claim by its duly authorized representative dated February 27, 2020.

**QVT FUND IV LP**

By its General Partner QVT Associates GP LLC

By:   
Name: Nicholas Brum  
Title: Managing Member